

To ensure seamless access to all OmniFunds and any delays in the process, please follow the guidelines below when opening or updating your account.

Please review the entire document and use it as a reference while opening your account.

New Accounts— When opening your brokerage account, be sure to select MARGIN as the account type designation and request permissions for Complex or Leveraged Exchange-Traded Products. This ensures your account can trade all eligible OmniFunds.

Margin Account—Enabling margin is essential because cash accounts have a 24-hour settlement delay after closing a position, which prevents immediate reinvestment. Margin accounts, on the other hand, allow proceeds from a sale to be reinvested right away—even though margin itself is not being used. This applies to IRA accounts as well, where margin can be enabled solely for settlement purposes.

Complex or Leveraged Exchange Traded Products—Some OmniFunds include ETFs in their trading algorithms. OmniFunds does not trade leveraged ETFs. To ensure your account can trade all types of standard ETFs, you'll need to request approval for "Complex or Leveraged Exchange Traded Products." This designation grants access to a broad range of ETFs, including standard, non-leveraged ETF's.

General Account Guidelines for OmniFunds Trading

To ensure your account meets all requirements, the following information is generally expected for full trading access:

- **Investment Objectives:** Growth, Hedging, Profits from Active Trading or Speculation, *Deselect "Preservation of Capital"*
- **Annual Net Income:** \$100,000
- **Net Worth:** \$100,000
- **Liquid Net Worth:** \$100,000
- **Trading Experience** (Stocks and Options):
 - Minimum of 2 years' experience for each
 - 50-100 trades per year for stocks, >100 trades\year for options
 - "Good knowledge," 30+ lifetime trades

The following images will help you identify the relevant sections on the application. Once your permissions have been requested, proceed with the rest of the application.

Configure Your Trading Account

Please provide the financial information, investment objectives, and trading experience below. This information helps us determine your eligibility to trade certain investment products.

Income and Net Worth ?

Annual Net Income (USD) 100,000 - 150,000 ▼

Net Worth (USD) 100,000 - 250,000 ▼

Liquid Net Worth (USD) 100,000 - 250,000 ▼

Investment Objectives and Trading Purpose ?

Select all that apply.

Risk Level

☐ Preservation of Capital and Income Generation

● ● ● ●

☒ Growth

● ● ● ●

☒ Hedging

● ● ● ●

☒ Profits from Active Trading and Speculation

● ● ● ●

Account Type ?



Cash

Request



Margin (Most Common)

Request



Portfolio Margin

Request

Margin Designation is required for OmniFunds

Select the Products You Would Like to Trade

Trade global stocks, options, futures, currencies, bonds, ETFs and more from a single integrated account.



Stocks



Options

Options Level



Forecast and Event Contracts



Show More

You can select more products, specific markets and locations to trade after your account is open.

Trading Experience

Stocks

2 Years of Experience ▼

51 - 100 Trades per Year ▼

Good Knowledge ▼

Options

2 Years of Experience ▼

> 100 Trades per Year ▼

Good Knowledge ▼

Options Level

×

Select the Options level you wish to trade

☐ Level 1

✓

☐ Level 2

✓

☒ Level 3

✓

☐ Level 4

✓

Close

Save

Select the Products You Would Like to Trade

Trade global stocks, options, futures, currencies, bonds, ETFs and more from a single integrated account.



Stocks





Options

Options level 3 





Forecast and Event Contracts





Bonds





Futures





Futures Options





Metals





Mutual Funds





Complex or Leveraged Exchange Traded Products





Cryptocurrencies and Virtual Assets Products





Show Less

You can select more products, specific markets and locations to trade after your account is open.

 Stocks ☒	 Options Options level 3  ☒	 Forecast and Event Contracts ☐	 Bonds ☐
 Futures ☐	 Futures Options ☐	 Metals ☐	 Mutual Funds ☐
 Complex or Leveraged Exchange Traded Products ☒	 Cryptocurrencies and Virtual Assets Products ☐	 Show Less	

You can select more products, specific markets and locations to trade after your account is open.

Trading Experience			
Stocks	2 Years of Experience▼	51 - 100 Trades per▼	Good Knowledge▼
Options	2 Years of Experience▼	> 100 Trades per▼	Good Knowledge▼

Getting More Help:

Gar Wood Securities Active Retail Trader Support

(512) 623-7774

prosuptTX@garwoodsecurities.net